

LIST OF INFORMATION DISCLOSED BY APPLICANT
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ATTY. DOCKET NO.
87-105004

SERIAL NO.

FILING DATE

APPLICANT

GROUP

THOMAS, Stephen et al.

2633

U.S. PATENT DOCUMENTS

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~~Technology Center 2600~~

FOREIGN PATENT DOCUMENTS

| FOREIGN PATENT DOCUMENTS | | | | | | TRANSLATION |
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| | DOCUMENT NUMBER | DATE | COUNTRY | NAME | | YES NO. |
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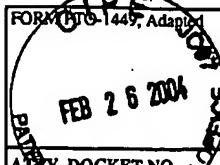
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

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| EXAMINER <i>Bella</i> | DATE CONSIDERED 11/6/04 |
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| ATTY. DOCKET NO. 08286.105004 | | SERIAL NO. 10/045,652 | FILING DATE October 26, 2001 | | | | |
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| APPLICANT THOMAS, Stephen et al. | | | GROUP 2633 | | | | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| <i>AB</i> | AA | US 5,969,836 | 10/19/99 | FOLTZER, Lawrence E. | | | |
| <i>AB</i> | AB | US 5,365,588 | 11/15/94 | BIANCO, Mark E. et al. | | | |
| <i>AB</i> | AC | US 4,956,863 | 09/11/90 | GOSS, Kenneth C. | | | |
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| <i>AB</i> | AE | US 6,336,201 | 01/01/01 | GEILE, Michael J. et al. | | | Technology Center - 300 |
| <i>AB</i> | AF | US 5,793,413 | 08/11/98 | HYLTON, Denny L. et al. | | | |
| <i>AB</i> | AG | US 5,790,523 | 08/04/98 | RITCHIE, JR., John A. et al. | | | |
| <i>AB</i> | AH | US 6,041,056 | 03/21/00 | BIGHAM, John A. et al. | | | |
| <i>AB</i> | AI | US 5,666,487 | 09/09/97 | GOODMAN, William et al. | | | |
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| <i>AB</i> | AK | US 5,534,912 | 07/09/96 | KOSTRESKI, Bruce W. | | | |
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| <i>AB</i> | AO | US 6,674,967 | 01/06/04 | SKROBKO, John et al. | | | |
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| <i>AB</i> | AQ | US 5,541,917 | 07/30/96 | FARRIS, Robert D. et al. | | | |
| <i>AB</i> | AR | US 5,572,347 | 11/05/96 | BURTON, Larry W. et al. | | | |

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| APPLICANT THOMAS, Stephen et al. | | GROUP 2633 | Technology Center 2600 |

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| B3 | AS | EP 0955739 A2 | 11/10/99 | Europe | NEC Corporation | |
| B3 | AT | EP 0713347 A2 | 05/22/96 | Europe | AT&T Corporation | |

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| B3 | AU | MIKI, Tetsuya et al., "A Design Concept on Fiber-Optic Wavelength-Division-Multiplexing Subscriber Loop System" WTG - Fachber, 1980, pages 41-45. |
| B3 | AV | International Search Report of July 7, 2003 for PCT/US01/51350. |
| B3 | AW | ANGELOPOULOS, J.D. et al., "A Transport Mac Method for Bandwidth Sharing and CDV Control at the ATM Layer of Passive Optical Networks" Journal of Lightwave Technology, IEEE, 1996, pages 2625-2634. |
| B3 | AX | International Search Report of July 2, 2003 for PCT/US03/07814. |
| B3 | AY | International Search Report of October 3, 2003 for PCT/US03/12231. |
| B3 | AZ | CICIORA, Walter S. et al., "Modern Cable Television Technology: Video, Voice, and Data Communications", © 1999, pages 162-214, Chapter 4, Morgan Kaufmann Publishers, Inc., San Francisco, California. |
| B3 | BA | GLAESEMANN, G. Scott et al., "The Mechanical Reliability of Corning® Optical Fiber in Bending White Paper", September 2002, pages 1-4 |
| B3 | BB | Corning® SMF-28™ Optical Fiber Product Information, "Corning® Single-Mode Optical Fiber", April 2002, pages 1-4. |
| B3 | BC | Companie Deutsch, Components for Fiber Optics, "Triplexers - WDM: FSAN - TPM Series", pages 1-6. |
| B3 | BD | CEDaily Direct News, "Today's Report", March 19, 2001, pages 1-5. |
| B3 | BE | Lucent Technologies, "Lucent Technologies Introduces Single-Fiber Network Access Devices for Voice, Video, and Data Services to the Home or Curb", June 6, 2000, pages 1-2. |
| B3 | BF | Lucent Technologies, "Agere Systems Introduces Single-Fiber Network Access Devices for Voice, Video and Data Services to the Home or Curb", March 19, 2001, pages 1-3. |
| B3 | BG | Optical Networks Daily, A Publication of Optical Keyhole, August 26, 2003, pages 1-13. |
| B3 | BH | International Preliminary Examination Report of October 27, 2003 for PCT/US01/21298. |
| B3 | BI | BOURNE, John et al., "Heathrow - Experience and Evolution" IEEE, 1990, pages 1091-1095. |
| B3 | BJ | YAMAGUCHI, K. et al., "A Broadband Access Network Based on Optical Signal Processing: The Photonic Highway" IEEE, 1990, pages 1030-1037. |
| B3 | BK | Written Opinion of July 23, 2003 for PCT/US02/028734. |
| B3 | BL | International Search Report of July 7, 2003 for PCT/US01/51350. |

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Sheet 1 of 2

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| Stephen A. Thomas et al. | | 2633 | | | | | |
| U.S. PATENT DOCUMENTS | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| <i>AB</i> | AA | 5,559,858 | 09/24/96 | Beveridge | | | |
| <i>AB</i> | AB | 6,460,182 | 10/01/02 | BuAbbud | | | |
| <i>AB</i> | AC | 6,546,014 | 04/08/03 | Kramer et al. | | | |
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| <i>AB</i> | AM | 5,349,457 | 09/20/94 | Bears | | | |
| <i>AB</i> | AN | 6,295,148 | 09/25/01 | Atlas | | | |
| <i>AB</i> | AO | 5,325,223 | 06/28/94 | Bears | | | |
| <i>AB</i> | AP | 6,385,366 | 05/07/02 | Lin | | | |
| EXAMINER <i>Bell</i> | | | | DATE CONSIDERED <i>11/6/04</i> | | | |
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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE

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| APPLICANT Stephen A. Thomas et al. | | GROUP 2633 |
| OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.) | | |
| BA | International Search Report dated 04/22/03 for PCT/US01/50361 | |
| BB | L. Zhang et al., "Label-switching architecture for IP traffic over WDM networks", IEE Proc.-Commun., Vol. 147, No. 3, October 2000, pgs. 269-275. | |
| BC | J. Masip-Torné, et al., "Providing Differentiated Service Categories in Optical Packet Networks", Proceedings of the International Teletraffic Congress 1999. | |
| BD | ITC-16: Teletraffic Engineering in a Competitive World. Edinburgh, UK, June 7 - 11, 1999, Teletraffic Science and Engineering, Amsterdam: Elsevier, NL, Vol. 3B, June 7, 1999, pgs. 1115-1126 | |
| BB | J. Bammerl et al., "How Many Wavelengths Do We Really Need? A Study of the Performance Limits of Packet Over WaveLengths", Optical Networks Magazine, SPIE, Bellingham, WA, Vol. 1, No. 2, April 2000, pgs. 17-28. | |
| BF | O. W.W. Yang, et al., "All-Optical WDM/TDM Star-Bus Network Using Optical Amplifiers", Journal of Optical Communications, Fachverlage Schiele & Schier, Berlin, DE, Vol. 16, No. 6, December 1, 1995, pgs. 210-220. | |
| BG | Walter Cicconi et al., "Modern Cable Television Technology: Video, Voice, and Data Communications", © 1999 by Morgan Kaufmann Publishers, Inc., pgs. 167-176 | |
| BH | International Search Report dated 04/21/03 for PCT/US02/28734 | |
| BI | Written Opinion dated May 6, 2003 for PCT/US01/21298 | |
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| EXAMINER <i>A. Zellweger</i> | DATE CONSIDERED 11/06/04 | |
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| Stephen A. Thomas et al. | | 2633 | | | | | |
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| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
| B | AA | 20010002195 | 05/31/01 | Fellman et al. | | | |
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| B | AC | 20010030785 | 10/18/01 | Pangrac et al. | | | MAR 25 2003 |
| B | AD | 20020039218 | 04/04/02 | Farmer et al. | | | Technology Center 2600 |
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| B | AF | 4,655,517 | 05/11/87 | Widmer | | | |
| B | AG | 4,975,899 | 12/04/90 | Faulkner | | | |
| B | AH | 5,572,348 | 11/05/96 | Carlson et al. | | | |
| B | AI | 5,880,864 | 03/09/99 | Williams et al. | | | |
| B | AJ | RE37,125 | 04/03/01 | Carlson et al. | | | |
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| B | AL | EP 0720322 A2 | 07/03/96 | Europe | ALCATEL N.V. | | |
| B | AM | WO 01/27940 A2 | 04/19/01 | PCT | OPTICAL SOLUTIONS, INC. | | |
| B | AN | WO 02/030019 A3 | 04/11/02 | PCT | WAVE7 OPTICS, INC. | | |
| B | AO | WO 02/30020 A2 | 04/11/02 | PCT | WAVE7 OPTICS, INC. | | |
| B | AP | WO 02/060123 A2 | 08/01/02 | PCT | WAVE7 OPTICS, INC. | | |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | |
| B | AQ | "Trading Update and Operational Review Presentation" Marconi, September 4, 2001, pgs 1-35. | | | | | |
| B | AR | "Cable Market" 2 pgs, Marconi Corporation, PLC, 2000 at www.Marconi.com | | | | | |
| B | AS | "Communications" 2 pgs, Marconi Corporation, PLC, 2000 at www.Marconi.com | | | | | |
| B | AT | "Dalton Utilities" 9 pgs, Marconi Corporation, PLC, 2002 at www.Marconi.com | | | | | |
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OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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| | AU | "Deep Fiber Solutions" 3 pgs, Marconi Corporation, PLC, 2000 at www.Marconi.com |
| | AV | "Deep Fiber HFC Features and Benefits" 1 pg, Marconi Corporation, PLC, 2002 at www.Marconi.com |
| | AW | "Deep Fiber HFC Network Diagram" 1 pg, Marconi Corporation, PLC, 2002 at www.Marconi.com |
| | AX | "Deep Fiber HFC Product Overview: New FITL Configuration" 2 pgs, Marconi Corporation, PLC, 2002 at www.Marconi.com |
| | AY | "Deep Fiber HFC Technical Specifications" 2 pgs, Marconi Corporation, PLC, 2002 at www.Marconi.com |
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| | BA | "En-Touch" at 5 pgs, Marconi Corporation, PLC, 2002 www.Marconi.com |
| | BB | "Fiber to the Home" International Engineering Consortium(no date) pgs 1-10, available at www.iec.com. |
| | BC | "Deep Fiber HFC" Marconi Corporation PLC 2000, pgs 1-2. |
| | BD | "Integrated Voice, Video and Data Services Over a Single Fiber: A Compelling Opportunity for Cable Operators" Marconi Corporation PLC, May 2000, 6 pgs |
| | BE | "Deep Fiber Solutions: Advanced Broadband Services" Marconi Corporation PLC, May 2000, 5pgs. |
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| | BH | "Fiber Optics on New Development MDUs: A Research Summary," Marconi Corporation PLC, May 2000, 5pgs. |
| | BI | "Thermal Management Challenges: Small ONU Enclosures," Marconi Corporation PLC, May 2000, 9pgs. |
| | BJ | "Passive Optical Networks - Is There Light at the End of the Access Tunnel?" CIBC World Markets Inc., January 11, 2001, pgs 1-66. |
| | BK | "36.2.4 8B/10B transmission code", IEEE 2000, pgs 966-969. |
| | BL | G. Khoe et al., "Coherent Multicarrier Technology for Implementation in the Customer Access," IEEE, May/June 1993, pgs 695-713. |
| | BM | L. Linnell, " A Wide-Band Local Access System Using Emerging-Technology Components," IEEE, July 1986, pgs. 612-618 |
| | BN | "Digital Broadband Delivery System: Out of Band Transport - Mode B," Society of Cable Telecommunications Engineers, Inc., August 10, 1998, 76 pgs. |

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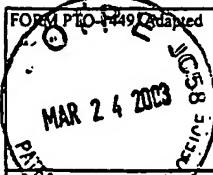
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| BO | "Digital Broadband Delivery System: Out of Band Transport - Mode A," Society of Cable Telecommunications Engineers, Inc., August 26, 1998, 49 pgs. | |
| BP | B. Gaglianello & P. Thompson, "An Efficient MAC Layer Protocol for EPON," IEEE 802.3 EFM November 2001, 9 pgs. | |
| BQ | "Policing and Shaping Overview" <i>Quality of Service Solutions Configuration Guide</i> , Cisco Systems, Inc. (No Date) | |
| BR | "Cisco IOS™ Software Quality of Service Solutions," Cisco Systems, Inc. 1998, 28 pgs. | |
| BS | International Search Report for PCT/US01/21298, 2 pgs , mailed June 17, 2002. | |
| BT | International Search Report for PCT/US02/03056, 1 pg, mailed June 12, 2002. | |
| BU | "Ethernet - Accelerating the Standard for Speed," 7 pgs, Gigabit Ethernet Alliance, November 2000 at www.gigabit-ethernet.org | |
| BV | "eLuminant - Asynchronous Transfer Mode (ATM) Passive Optical Networks (PONS) Tutorial" 28 pgs, the International Engineering Consortium, 2000 at www.iec.org | |
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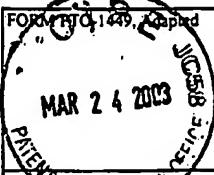
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| | CM 5,510,921 | 04/23/96 | Takai et al. | | | |
| | CN 5,701,186 | 12/23/97 | Huber | | | |

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| CO | International Search Report dated December 4, 2002 for PCT/US02/27398. | | |
| CP | International Search Report dated December 17, 2002 for PCT/US02/15861. | | |
| CR | Global Access™, Universal Services Gateway, USG100, ARRIS, pgs. 1-2, October 18, 2002. | | |
| CS | Global Access™, Universal Access Switch, UA4024, ARRIS, pgs. 12, August 28, 2002. | | |
| CT | Partial International Search Report dated January 3, 2003 for PCT/US01/31032. | | |

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| | DB | | | | | |
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